



Attorney Docket No. 280/016 DC JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Brian HARDEN, et al.

Serial No. 10/647,262

Art Unit 1732

Continuation of Serial No. 09/503,249 *filed: February 14, 2000,*

Which is a Continuation of

Serial No. 08/943,274

filed: October 3, 1997

Filed: August 26, 2003

For: **METHOD FOR REPLICATING OPTICAL ELEMENTS,
PARTICULARLY ON A WAFER LEVEL, AND
REPLICAS FORMED THEREBY**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.56

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB08 (A *and/or* B) is a listing of a document(s) known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56. These references correspond to the art cited during prosecution of parent applications Serial No. 09/503,249 and Serial No. 08/943,274, having filing dates of February 14, 2000 and October 3, 1997, respectively, on which applicant relies under 35 U.S.C. 120. Accordingly, copies of the references need not be submitted; 37 C.F.R. §1.98(d)(1).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

The listed document(s) is/are being cited in compliance with 37 C.F.R. 1.97(b)(3): submission before the mailing date of the first action on the merits. [Box checked if applicable.]

The foregoing document came to applicant's attention during the prosecution of their corresponding _____ application. A copy of the _____ Search Report is also attached. [Box(es) checked if applicable.]

CERTIFICATION

The undersigned hereby certifies in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. [Box checked if applicable.]

* * *

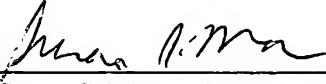
Applicant respectfully requests that the listed document(s) be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

FEE PAYMENT

- The fee mandated by 37 C.F.R. §1.17(p) is believed applicable. [Box checked if applicable.]
- Attached is a check in payment of this fee (\$180.00). [Box checked if applicable.]
- This fee may be charged to Deposit Account No. 50-1645. (A duplicate copy of this paper is enclosed.) [Box checked if applicable.]

Respectfully submitted,

December 20, 2004
Date



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DEPOSIT ACCOUNT CHARGE AUTHORIZATION

If fee payment is enclosed, this amount is believed to be correct. However, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-1645.

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Substitute for form 1449/PTO

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of

Application Number	10/647,262
Filing Date	August 26, 2003
First Named Inventor	Briand HARDEN, et al
Art Unit	1732
Examiner Name	

Attorney Docket Number 280/016 DC

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 3,579,816	5-1971	INGRAHAM	
		US- 3,674,004	7-1972	GRANDIA, et al.	
		US- 3,691,707	9-1972	VON ARX, et al.	
		US- 3,698,080	10-1972	BERNER	
		US- 4,222,070	9-1980	HOWE, et al.	
		US- 4,769,108	9-1988	DEPPE, et al.	
		US- 5,034,083	7-1991	CAMPANELLI, et al.	
		US- 5,157,001	10-1992	SAKUMA	
		US- 5,171,392	12-1992	IIDA, et al	
		US- 5,182,055	1-1993	ALLISON, et al.	
		US- 5,214,535	5-1993	HARRIS, et al	
		US- 5,284,538	2-1994	SUZUKI, et al.	
		US- 5,552,009	9-1996	ZAGER, et al.	
		US- 5,575,878	11-1996	COX, et al.	
		US- 5,597,613	1-1997	GALARNEAU, et al.	
		US- 5,630,902	5-1997	GALARNEAU, et al.	
		US- 6,027,595	2-2000	SULESKI	
		US- 6,066,218	5-2000	KUHN, et al.	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 0 731 417	9-1996	CAMPANELLI et al		

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.